



DEPARTMENT OF TRANSPORTATION

Federal Transit Administration

[FTA Docket No. 2014 - 0027]

Notice of Request for the Extension of a Currently Approved Information Collection

AGENCY: Federal Transit Administration, DOT.

ACTION: Notice of request for comments.

SUMMARY: In accordance with the Paperwork Reduction Act of 1995, this notice announces the intention of the Federal Transit Administration (FTA) to request the Office of Management and Budget (OMB) to approve the revision of the currently approved information collection: 49 U.S.C. 5335(a) and (b) National Transit Database (NTD).

DATES: Comments must be submitted before (Insert date 60 days after publication in the Federal Register).

ADDRESSES: To ensure that your comments are not entered more than once into the docket, submit comments identified by the docket number by only one of the following methods:

1. Website: www.regulations.gov. Follow the instructions for submitting comments on the U.S. Government electronic docket site. (Note: The U.S. Department of Transportation's (DOT's) electronic docket is no longer accepting electronic comments.) All electronic submissions must be made to the U.S. Government electronic docket site at www.regulations.gov. Commenters should follow the directions below for mailed and hand-delivered comments.
2. Fax: 202-493-2251.

3. Mail: U.S. Department of Transportation, 1200 New Jersey Avenue S.E., Docket Operations, M-30, West Building, Ground Floor, Room W12-140, Washington, D.C. 20590-0001.
4. Hand Delivery: U.S. Department of Transportation, 1200 New Jersey Avenue S.E., Docket Operations, M-30, West Building, Ground Floor, Room W12-140, Washington, D.C. 20590-0001 between 9:00 a.m. and 5:00 p.m., Monday through Friday, except federal holidays.

Instructions: You must include the agency name and docket number for this notice at the beginning of your comments. Submit two copies of your comments if you submit them by mail. For confirmation that FTA has received your comments, include a self-addressed stamped postcard. Note that all comments received, including any personal information, will be posted and will be available to Internet users, without change, to www.regulations.gov. You may review DOT's complete Privacy Act Statement in the Federal Register published April 11, 2000, (65 FR 19477), or you may visit www.regulations.gov. Docket: For access to the docket to read background documents and comments received, go to www.regulations.gov at any time.

Background documents and comments received may also be viewed at the U.S. Department of Transportation, 1200 New Jersey Avenue S.E., Docket Operations, M-30, West Building, Ground Floor, Room W12-140, Washington, D.C. 20590-0001 between 9:00 a.m. and 5:00 p.m., Monday through Friday, except federal holidays.

FOR FURTHER INFORMATION CONTACT:

National Transit Database - Mr. Keith Gates, Office of Budget and Policy (202) 366-1794, or e-mail: Keith.Gates@dot.gov

SUPPLEMENTARY INFORMATION:

Interested parties are invited to send comments regarding any aspect of this information collection, including: (1) the necessity and utility of the information collection for the proper performance of the functions of the FTA; (2) the accuracy of the estimated burden; (3) ways to enhance the quality, utility, and clarity of the collected information; and (4) ways to minimize the collection burden without reducing the quality of the collected information. Comments submitted in response to this notice will be summarized and/or included in the request for OMB approval of this information collection.

FTA currently has two (2) pending Federal Register notices (docket numbers FTA-2014-0006 and FTA-2014-0009) covering proposed changes to NTD reporting, including updates to the Safety and Security Reporting Module and the addition of a new Asset Inventory Module. The comment period on these notices ended in October 2014. FTA is currently in the process of reviewing and responding to the comments received from these notices. The estimated burden below is based on FTA's goal of implementing the proposed changes with minimal impact to current reporting burdens. A revised impact analysis and Federal Register Notice will be filed, if necessary, based on FTA's final proposal in response to the comments.

Title: 49 U.S.C. 5335(a) and (b) National Transit Database

OMB Control No.: 2132-0008

Background: 49 U.S.C. 5335(a) and (b) requires the Secretary of Transportation to maintain a reporting system, using a uniform system of accounts, to collect financial and operating information from the nation's public transportation systems. Congress created the NTD to be the repository of transit data for the nation to support public transportation service planning. FTA has established the NTD to meet these requirements, and has collected data for over 35 years.

FTA continues to seek ways to reduce the burden of NTD reporting, introducing a new Sampling Manual in 2010 to reduce the burden of passenger mile sampling and introducing its new Small Systems Waiver in 2011 to reduce the reporting burden on small transit systems. An improved on-line reporting system is currently being deployed. The NTD is comprised of four modules, Rural, Urban Annual, Monthly, and Safety Event Reporting.

Estimated Annual Burden: Currently FTA receives reports from 54 State and Territorial DOTs. Combined, these States and Territories report on behalf of approximately 1,300 sub-recipients from FTA's Rural (Section 5311) Formula Program. For each sub-recipient, the State provides identifying information, sources of operating funds, sources of capital funds, vehicle revenue miles, vehicle revenue hours, and unlinked passenger trips. Additionally, a revenue vehicle inventory is reported, as well as total fatalities, injuries, and safety incidents for the year. FTA estimates that it takes approximately 20 hours to report on behalf of each sub-recipient, including the time needed for the sub-recipient to gather the information and report it to its State DOT, the time for the State DOT to assemble the data and submit it to FTA, and the time to respond to validation questions from FTA about the data.

Estimated Total Annual Burden: 26,000 hours.

Frequency: Annual reports.

NTD Annual Module--Small Systems Waiver: Each year about 300 transit systems with 30 or fewer vehicles claim a Small Systems Waiver and file a reduced report. There are an additional 122 Tribal Transit reporters that complete an annual report very similar to the small system reduced report.

Estimated Annual Burden: FTA provides reduced reporting requirements to urbanized area transit systems with 30 or fewer vehicles. These systems are exempt from sampling for

passenger miles and report only summary financial and operating statistics compared to full reporters in urbanized areas, similar to what is required of the rural sub-recipients. Additionally, they also report contact information, funding allocation information, a revenue vehicle inventory, the number of stations and maintenance facilities, and total injuries, fatalities, and safety incidents. The reports are also required to be reviewed by an auditor and certified by the CEO. Systems with this waiver are also exempt from the Monthly and Safety & Security Modules. FTA estimates that completing a report for a Small Systems Waiver requires approximately 27 hours, including time to assemble the information and respond to validation questions from FTA about the report.

Estimated Total Annual Urban Burden: 11,400 hours.

Frequency: Annually.

NTD Annual Module--Full Reports: FTA expects about 550 transit systems to file complete reports.

Estimated Annual Burden: The Full Report to the Annual Module is comprehensive. Basic contact information, as well as information on sub-recipients and purchased transportation contracts must be provided. Sources of funds for operating expenses and capital expenses must be provided, as well as detailed operating and capital expenses for each mode by function and object class. Key service data collected includes vehicle revenue miles, vehicle revenue hours, unlinked passenger trips, and passenger miles traveled; these must be provided by average, weekday, average Saturday, average Sunday, and as an annual total. Most systems that do not inherently collect passenger mile information (such as a ferryboat or commuter rail) must conduct random sampling for passenger mile information. Large systems with more than 100 vehicles are required to sample for passenger miles every year, whereas smaller systems are only

required to sample every third year. A comprehensive revenue vehicle inventory is collected, as well as information on fixed guide-way mileage, passenger stations, maintenance facilities, fuel consumption, employee hours, and maintenance breakdowns. Reports are also required to be reviewed by an auditor and certified by the system CEO.

Approximately 100 large systems are required to sample for passenger miles each year and approximately 450 mid-size systems sample every three years. FTA estimates that it takes approximately 340 hours per year to sample for passenger miles, which is amortized over three years for mid-sized systems. FTA estimates that completing the remaining financial, operating, resource, and capital asset information requires approximately 250 hours per year per transit system, including gathering the information, completing the forms, and responding to validation questions.

Estimated Total Burden: 222,500 hours.

Frequency: Annually.

NTD Monthly Module: FTA expects about 550 transit systems to report to the Monthly Module.

Estimated Annual Burden: Each month, vehicle revenue miles, vehicle revenue hours, unlinked passenger trips, and vehicles operated in maximum service are submitted to the Monthly Module. FTA estimates that it takes approximately 4 hours each month for each system to report the data, including collecting and assembling the data for each mode, filling out the form, and responding to any validation questions in regards to the data.

Estimated Total Annual Urban Burden: 26,400 hours.

Frequency: Monthly.

NTD Safety Event Reporting Module: FTA expects about 550 transit systems to report to the

Safety & Security Module.

Estimated Annual Burden: Each system provides an annual report on the total number of system security personnel, and an annual CEO certification of the safety data. Each month, systems provide a summary report of all minor fires and all incidents resulting in single-person injuries due to slips, falls, or electrical shocks. Additionally, systems must provide a detailed report within 30 days of any incident involving one or more fatalities, one or more injuries, or total property damage in excess of \$25,000. FTA currently receives about 6,000 major incident reports per year, and estimates that it takes on average about 2 hours to collect data for each incident, enter it into the NTD, and respond to any validation question. Additionally, FTA estimates that each of the 550 full reporters spend on average one hour each month completing the minor incident summary reports.

Estimated Total Annual Urban Burden: 18,600 hours

Frequency: Monthly.

Total Annual NTD Burden: 304,900 hours.

Matthew M. Crouch

Associate Administrator for Administration

[FR Doc. 2014-30334 Filed 12/24/2014 at 8:45 am; Publication Date: 12/29/2014]